A. Project/Activity Data

<table>
<thead>
<tr>
<th>Project/Activity Name:</th>
<th>Mission-wide Program Design &amp; Learning (PD&amp;L) and Evaluation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Geographic Location(s) (Country/Region):</td>
<td>Asia Region, China, Thailand</td>
</tr>
<tr>
<td>Amendment (Yes/No), if Yes indicate # (1, 2...):</td>
<td>No. This is the original IEE for this program.</td>
</tr>
<tr>
<td>Implementation Start/End Date (FY or M/D/Y):</td>
<td>October 1, 2020 to September 30, 2030</td>
</tr>
<tr>
<td>If Amended, specify New End Date:</td>
<td>N/A</td>
</tr>
<tr>
<td>Solicitation/Contract/Award Number(s):</td>
<td>TBA (multiple during the life of this IEE)</td>
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<tr>
<td>Implementing Partner(s):</td>
<td>TBA (multiple during the life of this IEE)</td>
</tr>
<tr>
<td>Bureau Tracking ID:</td>
<td></td>
</tr>
<tr>
<td>Tracking ID of Related RCE/IEE (if any):</td>
<td></td>
</tr>
<tr>
<td>Tracking ID of Other, Related Analyses:</td>
<td>Asia 20-029</td>
</tr>
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</table>
### B. Organizational/Administrative Data

<table>
<thead>
<tr>
<th>Implementing Operating Unit(s): (e.g. Mission or Bureau or Office)</th>
<th>Regional Development Mission for Asia (RDMA)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Affected Operating Unit(s):</td>
<td>All operating units in the Asia region.</td>
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<tr>
<td>Lead BEO Bureau:</td>
<td>Asia Bureau</td>
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<tr>
<td>Funding Account(s) (if available):</td>
<td>N/A. This is for any upcoming PD&amp;L and Evaluation Activities. Funds will be allocated at the time of award.</td>
</tr>
<tr>
<td>Original Funding Amount:</td>
<td>N/A.</td>
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<tr>
<td>If Amended, specify funding amount:</td>
<td>N/A.</td>
</tr>
<tr>
<td>If Amended, specify new funding total:</td>
<td>N/A.</td>
</tr>
<tr>
<td>Prepared by:</td>
<td>Nigoon Jitthai, M&amp;E Specialist, RDMA</td>
</tr>
<tr>
<td>Date Prepared:</td>
<td>November 10, 2019</td>
</tr>
</tbody>
</table>

### C. Environmental Compliance Review Data

<table>
<thead>
<tr>
<th>Analysis Type:</th>
<th>☑ Environmental Examination</th>
<th>☐ Deferral</th>
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<tbody>
<tr>
<td>Environmental Determination(s):</td>
<td>☑ Categorical Exclusion(s)</td>
<td>☐ Negative</td>
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<tr>
<td>IEE Expiration Date (if applicable):</td>
<td>N/A. This is the original IEE for this program.</td>
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<tr>
<td>Additional Analyses/Reporting Required:</td>
<td>N/A. This is the original IEE for this program.</td>
<td></td>
</tr>
<tr>
<td>Climate Risks Identified (#):</td>
<td>N/A. This is for PD&amp;L and evaluations.</td>
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</tr>
<tr>
<td>Climate Risks Addressed (#):</td>
<td>N/A. This is for PD&amp;L and evaluations.</td>
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</table>
USAID APPROVAL OF INITIAL ENVIRONMENTAL EXAMINATION

ACTIVITY NAME: Mission-wide Program Design & Learning (PD&L) and Evaluation

Asia Bureau Tracking ID: ______________________

SUMMARY OF FINDINGS (this space is for BEOS to provide any input they may have).

Clearance:

Mission Environmental Officer       Cleared with edits       11/21/2019       Date
Laurie Frydman

Program Office                     Cleared via ECT*       01/09/2020       Date
Steve Majors

Regional Environmental Advisor    Cleared with edits       11/14/2019       Date
Andrei Barannik

Regional Legal Office              Cleared via ECT*       01/10/2020       Date
John Niemeeyer

Deputy Mission Director            Cleared via ECT*       01/13/2020       Date
Jeffery Spence

* Cleared as part of the Action Memo for USAID Asia Program Cycle Support Services IDIQ.

APPROVAL:
Acting RDMA Mission Director

Approved via ECT       01/14/2020       Date
Juniper Neill

CONCURRENCE:
Bureau Environmental Officer

Date
William Gibson
February 19, 2020

DISTRIBUTION:
Program Office, USAID/RDMA
Regional Legal Office, USAID/RDMA
Regional Office of Procurement, USAID/RDMA
1.0 ACTIVITY DESCRIPTION

RDMA has Project/Activity Design and PD&L Mission Orders that establishes internal procedures to ensure that PD&L and evaluation activities are implemented within the parameters of Pre-Obligation and Project/Activity planning requirements as described in ADS 201. While many PD&L and evaluation activities are parts of already approved Project/Activities, RDMA has encountered cases where the planned PD&L/evaluation activity is not covered by the Project/Activity approval. This is especially likely where RDMA is preparing a new strategy or when it is designing a new Activity, such as an administration priority, that does not fall within the current Projects. To avoid delays and the preparation of paperwork that would occur if individual IEEs were to be drafted and approved in Washington for each individual PD&L/evaluation activity, it is more effective and efficient to put into place an IEE for multiple years that will cover PD&L/evaluation activities that would be eligible for categorical exclusion.

The Goal of PD&L and evaluation is to support a mission in the areas of program design and learning that are the Agency’s priorities and requirements. PD&L/evaluation activities typically include development and implementation of: information-gathering efforts, consultations with key stakeholders, and collaborative works for the Strategy/Project/Activity design; formative assessments, baseline studies, feasibility studies, scoping and environmental assessments, pesticides evaluation reports and safer use action plans, biosafety and invasive species assessments, FAA 118/119, climate risk analysis at CDCS and Project/Activity levels, targeted evaluations and/or other types of special studies to monitor and evaluate the US Government funded programs; or other efforts related to collaborating, learning, and adapting (CLA) for knowledge capturing/management, program modification to ensure effectiveness, and dissemination of good practices and lessons learned.

2.0 BASELINE ENVIRONMENTAL INFORMATION

Not Applicable.

3.0 ANALYSIS OF POTENTIAL ENVIRONMENTAL RISK

Mostly, PD&L/evaluation activities involve qualitative and/or quantitative data collection through face-to-face interview and/or self-administered online survey, as well as meetings/workshops. It is anticipated that these activities will have no impact on the natural and physical environment.

4.0 ENVIRONMENTAL DETERMINATIONS

4.1 RECOMMENDED ENVIRONMENTAL DETERMINATIONS

The following summarizes the recommended determinations based on the environmental analysis conducted. Upon approval, these determinations become affirmed, per 22 CFR 216. Specified conditions, in this section and detailed in Section 5, become mandatory obligations of implementation, per ADS 204.

Justification for Categorical Exclusion Request
All PD&L/evaluation activities as defined above justify Categorical Exclusions, pursuant to 22 CFR 216.2(c)(1) and (2), for which an Initial Environmental Examination, or an Environmental Assessment are not required because the actions do not have an effect on the natural or physical environment.

Specifically, as currently planned, these activities fall into the following classes of action as per the 22 CFR 216.2:

- Education, technical assistance, or training programs except to the extent such programs include activities directly affecting the environment (such as construction of facilities, etc.)
- Analyses, studies, academic or research workshops and meetings; and
- Document and information transfers.

**Negative Determination with Conditions**

In a very rare or no case, if a PD&L/evaluation activity includes testing demonstrations that are not already covered by an approved development assistance Activity, they are suggested for a Negative Determination with Conditions per 22 CFR 216.3(a)(2)(iii) with the Conditions being that when the Activity start and complete work plan is available, the Contractor(s) must work closely with the COR to ensure no activities would potentially cause such impacts.

RDMA, led by the Program Office, assumes responsibility for actively monitoring the implementation of the Mission’s PD&L and evaluation activities.

**Positive Determination**

Not Applicable. This is for PD&L and evaluations.

### 4.2 CLIMATE RISK MANAGEMENT

Not Applicable. This is for PD&L and evaluations. The climate risk will be the same as, and thus covered by, relevant development assistance Activities. For PD&L and evaluation activities that fall outside of development assistance Activities, such as training or partners meetings, the timeline of activities could be easily adjusted in responding to the climate risk as needed.

### 5.0 CONDITIONS AND MITIGATION MEASURES

#### 5.1 CONDITIONS

The environmental determinations in this IEE are contingent upon full implementation of the following general implementation and monitoring requirements, as well as ADS 204 and other relevant requirements.

**5.1.1 During Pre-Award:**
5.1.1 Solicitations: The design team, in coordination with the CO, will ensure solicitations include environmental compliance requirements and evaluation criteria.

5.1.2 Awards: The COR, in coordination with the CO, will ensure all awards and sub-awards, include environmental compliance requirements.

5.2 During Post-Award:

The following steps will be undertaken in case that any activities fall outside of the Categorical Exclusion determination.

5.2.1 Post-Award Briefings: The COR and/or the cognizant environmental officer(s) (MEO and/or REA) will provide post-award briefings for the Contractor on environmental compliance responsibilities.

5.2.2 Workplans and Budgeting: The COR will ensure the Contractor integrates environmental compliance requirements in work plans and budgets to comply with requirements, including EMMP implementation and monitoring.

5.2.3 Staffing: The COR, in coordination with the Contractor, will ensure all awards have staffing capacity to implement environmental compliance requirements.

5.2.4 Records Management: The COR will maintain environmental compliance documents in the official award file and upload records to the designated USAID environmental compliance database system.

5.2.6 Work Plan Review: The COR will ensure the Contractor verifies, at least annually or when activities are added or modified, that activities remain within the scope of the IEE. Activities outside of the scope of the IEE cannot be implemented until the IEE is amended.

5.2.5 Host Country Environmental Compliance: The COR will ensure the Contractor complies with applicable and appropriate host country environmental requirements unless otherwise directed in writing by USAID. However, in the case of a conflict between the host country and USAID requirements, USAID’s regulations shall rule.

5.2.7 IEE Amendment: If new activities are introduced or other changes to the scope of this IEE occur, an IEE Amendment will be required.

5.2.8 USAID Monitoring Oversight: The COR or designee, with the support of the cognizant environmental officer(s), will ensure monitoring of compliance with established requirements (e.g., by desktop reviews, site visits, etc.).

5.2.9 Environmental Compliance Mitigation and Monitoring Plan: The COR will ensure the Contractor develops, obtains approval for, and implements Environmental
Mitigation and Monitoring Plans (EMMPs) that are responsive to the stipulated environmental compliance requirements.

5.1.2.10 Environmental Compliance Reporting: The COR will ensure the Contractor includes environmental compliance in regular project/activity reports, using indicators as appropriate; develops and submits the Environmental Mitigation and Monitoring Reports (EMMRs); and completes and submits a Record of Compliance (RoC) describing their implementation of EMMP requirements in conjunction with the final EMMR or at the close of sub activities (as applicable). And where required by Bureaus or Missions, ensure the Contractor prepares a closeout plan consistent with contract documentation for COR review and approval that outlines responsibilities for end-of-project operation, the transition of other operational responsibilities, and final EMMR with lessons learned.

5.1.2.11 Corrective Action: When noncompliance or unforeseen impacts are identified, the Contractor notifies the COR, place a hold on activities, take corrective action, and report on the effectiveness of corrective actions. The COR initiates the corrective action process and ensures the IP completes and documents their activities. Where required by Bureaus or Missions, ensure Record of Compliance is completed.

5.2 MITIGATION MEASURES

Not Applicable. This is for PD&L and evaluations. The mitigation measures will be put in place once required.

6.0 LIMITATIONS

The determinations recommended in this document apply only to activities and sub-activities described herein. Other activities that may arise will be documented in either a separate IEE, an IEE amendment if the activities are within the same activity, or other type of environmental compliance document and shall be subject to an environmental analysis within the appropriate documents listed above.

It is confirmed that the activities described herein do not involve actions normally having a significant effect on the environment, including those described in 22 CFR 216.2(d).

In addition, it is confirmed that the activities described herein do not involve any actions listed below. Any of the following actions would require additional environmental analyses and environmental determinations:

- Support project preparation, project feasibility studies, or engineering design for activities listed in §216.2(d)(1);
- Lead to new construction, reconstruction, rehabilitation, or renovation work per §216.2(b)(1) and ADS 201maw;
- Affect endangered and threatened species or their critical habitats per §216.5, FAA 118, FAA 119;
● Provide support to extractive industries (e.g. mining and quarrying) per FAA 117;
● Promote timber harvesting per FAA 117 and 118;
● Support agro-processing or industrial enterprises per §216.1(b)(4);
● Provide support for regulatory permitting per §216.1(b)(2);
● Lead to privatization of industrial facilities or infrastructure with heavily polluted property per §216.1(b)(4);
● Procure or use genetically engineered organisms per §216.1(b)(1);
● Assist the procurement (including payment in kind, donations, guarantees of credit) or use (including handling, transport, fuel for transport, storage, mixing, loading, application, clean-up of spray equipment, and disposal) of pesticides or activities involving procurement, transport, use, storage, or disposal of toxic materials. Pesticides cover all insecticides, fungicides, rodenticides, etc. covered under the Federal Insecticide, Fungicide, and Rodenticide Act per §216.2(e) and §216.3(b); and/or
● DCA and GDA.

7.0 REVISIONS
Per 22 CFR 216.3(a)(9), when ongoing programs are revised to incorporate a change in scope or nature, a determination will be made as to whether such change may have an environmental impact not previously assessed. If so, this IEE will be amended to cover the changes. Per ADS 204, it is the responsibility of the USAID A/COR to keep the MEO/CIL, REA/SE Asia and Pacific Islands, and Asia BEO informed of any new information or changes in the activity that might require revision of this environmental analysis and environmental determination.