INITIAL ENVIRONMENTAL EXAMINATION
Multi-lateral and Interagency Collaboration Project

1. PROGRAM/ACTIVITY DATA

Program/Activity Number: Multi-lateral and Interagency Collaboration Project PAD XXXXXXXXXX

Award Numbers:
- WHO: GHA-G-00-09-00003
- UNICEF: GHA-G-00-07-00007
- CDC IAA: TBD
- STOP TB Partnership: TBD

Country/Region: Global

Functional Objective: Investing in People

Program Area: Health


Life of Project: Dec 1, 2014 - Dec 31, 2025

Life of Project Funding:
- $1 Billion
  - WHO TEC: $300,000
  - UNICEF TEC: $300,000
  - CDC IAA TEC: $300,000
  - STOP TB TEC: $300,000

*All projects have potential for extension and ceiling increases.
Amounts represent 5 years. PAD life of project is requested for 10 years.

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Date Prepared: October 6, 2014

OP Unit Contact Point: Kelly Saldana, Deputy Director Office of Health, Infectious Diseases and Nutrition (HIDN)

2. ENVIRONMENTAL ACTION RECOMMENDED

Categorical Exclusion ______  Positive Determination ______
Negative Determination with conditions __ X__  Deferral ______

IEE: Multi-lateral and Interagency Collaboration Project  pg. 1  October 16, 2014
3. SUMMARY OF FINDINGS

Scope

In accordance with 22 CFR 216, this Environmental Determination reviews the reasonably foreseeable effects on the environment, including human health, for activities to be conducted under the USAID agreement with WHO TEC, UNICEF TEC, and STOP TB TEC. The purpose of this document is to review the overall activities and the potential environmental impact that will be undertaken by the Multi-lateral and Interagency Collaboration Project. This Initial Environmental Examination (IEE) evaluates the potential impacts of the activities and/or research. **Project Purpose**

The purpose of this project is to enhance multi-lateral collaboration with Public International Organizations (PIOs) and the interagency, specifically CDC for activities to promote the global health agenda in reducing preventable deaths. The Multi-lateral and Interagency Project encompasses four individual agreements with PIOs (WHO, UNICEF, STOP TP Partnership) and the Federal agency, Centers for Disease Control and Prevention (CDC). These awards are implemented through PIO cost type grants and an interagency agreement for CDC.

This project will address a broad range of global health challenges, including tuberculosis, malaria, neglected tropical diseases, maternal and child health, immunizations, pandemic influenza and other emerging threats, nutrition, reproductive health and family planning and HIV/AIDS. The organizations operate independently. Collectively, the mechanisms provide funding for staffing, commodities, technical assistance, and other functions. It is proposed that the project will be funded for 10 years at a total estimated cost of $10 billion for all four agreements combined. Individual awards have their own ceilings and length of award periods, typically 5 years with options to extend at no cost.

**Project Background and Program Description**

**WHO:** The World Health Organization, one of USAID’s oldest partners, is a major scientific and technical resource in the areas of strategy and program development, operational research, training, monitoring, and implementation of child health, HIV/AIDS, and infectious disease activities. Activities under this agreement will cover all Global Health technical areas and may include other USAID bureaus including regional bureaus and DCHA. Activities include, strengthening systems, production of workshops and guidelines, staffing, program research, emergency response, and other activities as determined by technical units.

Illustrative activities include:
- Strengthening national capacity for routine monitoring of insecticide resistance (malaria)
- Improving guidelines for community management of pneumonia
- WHO capacity building efforts under EPT1 in the form of support to government to provide a regulatory framework and support institutions to develop and strengthen laboratory networks, diagnostic capacity, and biosafety.
- Strengthening technical capacity of national TB Programs

**UNICEF:** UNICEF has been a strategic partner in Ending Preventable Child and Maternal Deaths (EPCMD). Many of EPCMD activities will be funded under this agreement including...
research studies, technical assistance, immunization projects, surveys and surveillance, nutrition activities, water, sanitation and hygiene (WASH), and ongoing iodine fortification efforts.

Illustrative Activities:
- Strengthening implementation of Every Newborn Action Plan at the country level
- Strengthening child protection systems in Cambodia to prevent and respond to violence, abuse, and exploitation of children
- Scale up nutrition and health surveys
- Increased support for community capacity building for prevention and treatment of HIV through communication efforts to boost use of antenatal services, training on new prevention of mother to child transmission of HIV (PMTCT) guidelines, and support for supervision and monitoring at service delivery sites.

CDC: An interagency agreement was first established in 1999 between USAID and CDC. Since that time, there have been two agreements, and under this IEE, a third agreement will be initiated. The IAA supports a range of diseases and health issues including malaria, neglected tropical diseases, tuberculosis, global health security, population and reproductive health, maternal and child health, and immunizations. Activities under the CDC IAA will potentially include: staffing, surveillance, trainings, technical assistance, operational research, and strengthening routine immunization.

Illustrative Activities:
- Staffing (PMI Resident Advisor)
- Providing technical assistance to ministries of health and partners to improve collection of reliable data on vaccinations, vaccine and surveillance management, and improving utilization of vaccine services
- Providing technical assistance to improve TB laboratory services, participating in development of guidance on laboratory capacity building, and field testing of TB laboratory accreditation tools
- Supporting emerging pandemic threats (EPT) programs by improving coordination among EPT partners, developing and strengthening surveillance systems, and developing training programs for integrated diseases surveillance and response (IDSR).

STOP TB: The STOP TB Partnership was established in 2000, and since its beginning has grown to be a highly effective partnership consisting of nearly 1,100 organizations and individuals in over 100 countries, including non-governmental organizations (NGOs), governments, bilateral donors, foundations, academic institutions, commercial firms, and multinational organizations. Member organizations include the Global Fund to Fight AIDS, TB, and Malaria (GFATM), WHO, and the World Bank.

USAID provided support to the Stop TB Partnership from FY 1998 through FY 2004 through the Global Health Bureau’s Umbrella Grant with WHO. The Stop TB Trust Fund was established in January 2005 at WHO as a mechanism for donors to provide direct support to the Stop TB Partnership. USAID is actively engaged in all aspects of the Stop TB Partnership. The Agency is a member of the Stop TB Coordinating Board and USAID technical staff members serve on all Stop TB technical working groups. USAID provides financial and technical support to the Global Drug Facility (GDF) as well as for other Partnership activities.

The Stop TB Partnership manages the GDF which enables countries to access quality-assured TB drugs, which is a key component of USAID’s efforts to stop the spread of TB, and more specifically, the development and spread of multi-drug resistant TB.
Illustrative Activities:
- Funding will be used in part to enable GDF to deliver key services to TB endemic countries and particularly related to Global Fund -related procurement and drug supply issues
- Technical support for the GeneXpert cartridges (diagnostic tool) and procurement assistance for country programs
- Aid GDF in grants and procurement of first and second line TB drugs

4. ENVIRONMENTAL DETERMINATION AND CONDITIONS

Pursuant to 22 CFR 216.3(a)(2)(iii), a ‘Negative Determination with Conditions’ is recommended for the Multi-lateral and Interagency Collaboration Project (MICP) Activity Approval Document (AAD) 936-3080. In accordance with ADS 308.3.11(c), Application of USAID Environmental Regulations, the activities of WHO, UNICEF and STOP TB are not categorically excluded from environmental analysis under 22 CFR 216.2(c)(2)(vi). In awarding cost-type grants to PIOs with their own environmental policies, USAID will strive to rely upon the PIOs’ application of its own environmental policies to the proposed activity and include appropriate language in the PIO Agreement. As a Federal Agency, CDC will be required to adhere to the USAID environmental procedures, unless the inter-agency agreement outlines a functionally equivalent environmental compliance process that is approved by the AOR/COR.

USAID is responsible for ensuring that the environmental consequences of AID-financed activities and mitigation measures are used to reduce or eliminate impacts. To the extent that WHO, UNICEF, STOP TB and CDC demonstrate to the satisfaction of the AOR/COR for the (MICP) agreement(s) that they maintain effective environmental mitigation measures and environmental monitoring throughout the duration of the MICP project, USAID will consider the internal processes functionally comparable to USAID 22 CFR Part 216 requirements.

Note: Upon final approval of this IEE, these recommendations are affirmed as threshold decisions and conditions become mandatory elements of the project implementation. In all other instances, the implementing partners (IP) shall work, promote, and take all practicable steps to maximize adherence of the partners and programs it works with to these points.

Conditions:

1. Confirm PIO environmental procedures in place. Within 90 days of the commencement of this project, WHO, UNICEF, STOP TB, and CDC will provide to the AOR, documentation of the process to ensure thorough identification of environmental impacts potentially generated from activities conducted under this agreement, mitigation measures to address impacts, and a monitoring program that verifies that mitigations measures are implemented as part of the regularly scheduled annual review process, the PIOs and CDC will provide information to demonstrate that environmental controls continue to be implemented. The AOR will provide copies of the requested documents to the Bureau Environmental Officer (BEO) for the Global Health Bureau.

2. Provision of the IEE. The AOR shall provide the WHO, UNICEF, STOP TB Partnership, and CDC, with a copy of this IEE and brief them on their environmental compliance responsibilities.
3. **AOR monitoring responsibilities.** As required by the ADS 204, the AOR will actively monitor and evaluate whether the conditions of this IEE are being implemented.

4. **Pesticides or pesticide products.** Any program activities conducted under this Agreement involving the procurement, use, research or disposal of pesticides or pesticide products may require an amendment of this IEE or PEA amendment based on consultations with the Bureau Environmental Officer for Global Health.

6. **Assurance of sub-awardee, -grantee, -contractor capacity and compliance.** The implementing partner shall assure that sub-awardees, -grantees, -contractors have the capability to implement the relevant requirements of this IEE. The implementing partner shall, if appropriate, provide training to sub-awardees, -grantees, and -contractors in their environmental compliance responsibilities.

6. **Integration of compliance responsibilities in prime and sub-contracts, agreements, and grants.** The AOR shall assure that the cooperative and inter-agency agreements reference and require compliance with the conditions set out in this IEE, as required by ADS 204.3.4(a)(6) and ADS 303.3.6(3)(e). The implementing partner shall assure that sub-contracts, agreements, and grants reference and require compliance with relevant elements of these conditions.

7. **New or modified activities.** As part of its initial workplan, and all annual workplans thereafter, the implementing partner in collaboration with the AOR shall review all on-going and planned activities to determine if they are within the scope of this IEE. The implementing partner shall complete the screening questionnaire (Part 1 of EMMR) with the annual workplan (Attachment A).
   a. If activities outside the scope of this IEE are planned, the AOR shall assure that an amendment to this IEE addressing these activities is prepared and approved prior to implementation of any such activities.
   b. Any ongoing activities found to be outside the scope of this IEE shall be modified to comply or halted until an amendment to this IEE is submitted and approved.

8. **Compliance with Host Country Requirements.** Nothing in this IEE substitutes for or supersedes implementing partner, sub-awardee/-grantee/-contractor’s responsibility for compliance with all applicable host country laws and regulations. The implementing partner and sub-awardee, -grantee, -contractor must comply with host country environmental regulations unless otherwise directed in writing by USAID. However, in case of conflict between host country and USAID regulations, the latter shall govern.
Multi-lateral and Interagency Collaboration Project

Environmental Monitoring and Mitigation Report (EMMR)

EMMR Part 1 of 3: Environmental Screening Form

<table>
<thead>
<tr>
<th>Name of Prime Implementing Organization:</th>
<th>Date of Screening:</th>
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<tr>
<th>Name of Sub-awardee Organization (if this EMMR is for a sub):</th>
<th>Funding Period for this award:</th>
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<td>FY____ - FY____</td>
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<thead>
<tr>
<th>Geographic location of USAID-funded activities (Province, District):</th>
<th>Current FY Resource Levels:</th>
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<td>FY____</td>
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<tr>
<th>This report prepared by:</th>
<th>Date of Previous EMMR for this organization (if any):</th>
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<tr>
<td>Name:</td>
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<td>Date:</td>
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</table>

Indicate which activities your organization is implementing with Malaria Vector Management Cooperative Agreement funding.

<table>
<thead>
<tr>
<th>Key Elements of Program/Activities Implemented</th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>1 • Education, Technical Assistance, or Training</td>
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<td>• Analysis, Studies, Academic or Research Workshops and Meetings</td>
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<td>• Document and Information Transfers</td>
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<td>• Programs involving health care, or family planning services except where directly affecting the environment</td>
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<td>• Studies, projects or programs intended to develop the capability of recipient countries and organizations to engage in development planning</td>
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<tr>
<td>2 Procurement, Storage, Management and Disposal of Public Health Commodities</td>
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<td>3 Generation, storage, handling and disposal of hazardous and highly hazardous medical waste</td>
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<tr>
<td>4 Small-scale construction or rehabilitation of hospitals, clinics, laboratories, VCT or training centers</td>
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<td>5 Small-scale Water and Sanitation</td>
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<tr>
<td>6 Other activities that are not covered by the above categories</td>
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## Multi-lateral and Interagency Collaboration Project

### Environmental Monitoring and Mitigation Report (EMMR)

**EMMR Part 2 of 3: Mitigation Plan**

<table>
<thead>
<tr>
<th>Category of Activity from Section 5 of LLIN IEE</th>
<th>Describe specific environmental threats of your organization’s activities (based on analysis in Section 3 of the VMCA IEE)</th>
<th>Description of Mitigation Measures for these activities as required in Section 5 of VMCA IEE</th>
<th>Who is responsible for monitoring</th>
<th>Monitoring Indicator</th>
<th>Monitoring Method</th>
<th>Frequency of Monitoring</th>
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<tbody>
<tr>
<td>1. Education, technical assistance, training etc.</td>
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<td>2. Public Health Commodities</td>
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<td>4. Small-Scale Construction</td>
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<td>6. Other activities that are not covered by the above categories: Describe</td>
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Multi-lateral and Interagency Collaboration Project
Environmental Monitoring and Mitigation Report (EMMR)

EMMR part 3 of 3: Reporting form

<table>
<thead>
<tr>
<th>List each Mitigation Measure from column 3 in the EMMR Mitigation Plan (EMMR Part 2 of 3)</th>
<th>Status of Mitigative Measures</th>
<th>List any outstanding issues relating to required conditions</th>
<th>Remarks</th>
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APPROVAL OF THE RECOMMENDED ENVIRONMENTAL ACTION

Clearance:

Elizabeth Fox
Office Director, GH/HIDN

Kelly Saldana
Agreement Officer Representative

Concurrence:

Rachel Dagovitz
Global Health Bureau Environmental Officer

Distribution List:
BEOs (Regional)

Date: 10/27/2014

Approved: ✓
Disapproved: ___